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Date: January 12, 2001

By: Key L. Gaviglio
Key L. Gaviglio

PATENT
Docket No. GC396-2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)	
)	
Brian S. Miller et al.)	Group Art Unit: 1652 ✓
)	
Serial No.: 09/262,126 ✓)	Examiner: Rao, M
)	
Filed: March 3, 1999)	
)	
For: Modified Forms of Pullulanase)	

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TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

BOX SEQUENCE
Assistant Director for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to Comply with Requirements for Patent Applications containing Nucleotide Sequence and/or amino Acid Sequence disclosures dated April 20, 2000.

- ☒ Preliminary Amendment
- ☒ Statement of Sameness
- ☒ Paper copy of Sequence Listing (9 pages)
- ☒ Diskette copy of Sequence Listing
- ☒ Form PTO-1533 (copy of Notice to be returned with response); and

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The Director is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17, and 1.21 that may be required by this paper, and to credit any

US Serial No. 09/262,126
Page 2

overpayment, to Deposit Account No. 07-1048 (Docket No. GC396-2). A duplicate of this paper is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Richard T. Ito", written over a horizontal line.

Richard T. Ito
Registration No. 32,242

Date: January 12, 2001

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Application No.: 09/262,126

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☐ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 1114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☒ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☐ 7. Other: The specification must be amended to include SEQ ID NOS. where applicable.

Applicant Must Provide:

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☐ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

For PatentIn software help, call (703) 308-6856

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